



## ASSET OWNER QUESTIONNAIRE

These questions are designed to help *AsianInvestor* compare relative improvement of institutional investors (asset owners) against peers. They are not designed to provide a “report card” in an absolute sense. All responses to the questionnaire will be strictly confidential. This information will not be shared by *AsianInvestor*’s editorial team with any other part of our business, or third parties, except on a blind basis with our judges.

### **PART 1: Governance (22% OF DECISION WEIGHTING)**

**Please explain how the following areas *have improved* over the past three years:**

- I) Corporate governance (including oversight and accountability, the involvement of the board of directors, selection criteria for board members, clarity of roles between the board/the investment committee/management, signing up to stewardship codes)
- II) Robustness of process (adherence to investment guidelines and objectives)
- III) Transparency and communication to stakeholders (use of channels to disseminate information, frequency of sharing details with the public, quality of data made available, investor/stakeholder education)

I)

II)

III)



**PART 2: Capabilities (22% OF DECISION WEIGHTING)**

**Please explain how the following areas *have improved* over the past three years:**

- I) Building internal competency in order to rely less on external managers
- II) Depth of talent
- III) Continuity of talent (length of tenure for senior positions)
- IV) Relevancy of talent to meet the investment mandate and goals
- V) Training of personnel

I)

II)

III)

IV)

V)



**PART 3: Innovation (22% OF DECISION WEIGHTING)**

**Please explain how the following areas *have improved* over the past three years:**

- I) How your organisation is thinking differently and changing how it invests, in comparison to peers
- II) Risk management solutions
- III) Operational efficiency
- IV) Use of technology
- V) New asset classes/diversification

I)

II)

III)

IV)

V)



**PART 4: Asset strategy and performance** (22% of DECISION WEIGHTING)

**Please explain how investments into the following asset areas *have improved over the past three years in terms of strategic goals and risk-adjusted returns*** (please include details of your in-house or external management strategy in each relevant area, and if possible offer specific investment/mandate examples):

- I) Active equity investments
- II) Active credit investments
- III) Passive investments
- IV) Smart beta investments
- V) Real asset investments (i.e. real estate and infrastructure)
- VI) Private equity investments
- VII) Private debt investments

I)

II)

III)

IV)

V)



VI)

VII)



**PART 5: Additional information (12% OF DECISION WEIGHTING)**

**Please outline how your institution excels in either or both of the following:**

- I) Environmental, Social and Governance responsibility (*as an organisation and in terms of investment strategy*)
- II) Individual contribution to institutional investment (*please name an individual who particularly stood out for their work and explain why they have done so*)

I)

II)